FORM PTO-1449, Approved

LIST OF INFORMATION DISCLOSED BY APPLICANT
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ATTORNEY DOCKET NO.	SERIAL NO.	FILING DATE
05456.1040442	09/885,427	June 19, 2001

APPLICANT	GROUP
Peter A.J. vander Made et al.	2123

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	AA	5,696,486	12/9/97	Poliquin et al.	340	506	
R	AB	6,353,385	3/5/02	Molini et al.	340	506	
R	AC	5,353,393	10/4/94	Bennett et al.	345	641	
R	AD	6,429,952	8/6/02	Olbricht	358	442	
R	AE	5,748,098	5/5/98	Grace	370	242	
R	AF	6,396,845	5/28/02	Sugita	370	449	
R	AG	6,711,127	3/23/04	Gorman et al.	370	230	
R	AH	5,734,697	3/31/98	Jabbarnezhad	379	14.01	
R	AI	6,104,783	8/15/00	DeFino	379	38	
R	AJ	6,226,372	5/1/01	Beebe et al.	379	189	
R	AK	6,445,822	9/3/02	Crill et al.	382	218	
R	AL	6,563,959	5/13/03	Troyanker	382	282	
R	AM	6,618,501	9/9/03	Osawa et al.	382	165	

FOREIGN PATENT DOCUMENTS

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EXAMINER	R. Gull	DATE CONSIDERED	3/19/2006

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05456.105039	09/885,427	June 19, 2001

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Peter A.J. vander Made et al.	2123

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	AN	6,628,824	9/30/03	Belanger	382	165	
R	AO	6,647,139	11/11/03	Kunii et al.	382	159	
R	AP	6,661,904	12/9/03	Sasich et al.	382	100	
R	AQ	6,668,082	12/23/03	Davison et al.	382	190	
R	AR	6,668,084	12/23/03	Minami	382	215	
R	AS	6,792,144	9/14/04	Yan et al.	382	190	
R	AT	5,345,595	9/6/94	Johnson et al.	455	410	
R	AU	6,278,886	8/21/01	Hwang	455	566	
R	AV	5,210,704	5/11/93	Husseiny	702	34	
R	AW	5,842,002	11/24/98	Schnurer et al.	703	21	
R	AX	5,854,916	12/29/98	Nachenberg	703	21	
R	AY	5,999,723	12/7/99	Nachenberg	703	22	
R	AZ	6,067,410	5/23/00	Nachenberg	703	28	
R	BA	5,864,803	1/26/99	Nussbaum	704	232	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	BB	6,014,645	1/11/00	Cunningham	705	38	
R	BC	6,584,454	6/24/03	Hummel, Jr. et al.	705	59	
R	BD	5,675,711	10/7/97	Kephart et al.	706	12	
R	BE	5,907,834	5/25/99	Kephart et al.	706	20	
R	BF	5,649,185	7/15/97	Antognini et al.	707	9	
R	BG	5,857,191	1/5/99	Blackwell, Jr. et al.	707	10	
R	BH	5,878,420	3/2/99	de la Salle	707	10	
R	BI	5,899,999	5/4/99	De Bonet	707	104.1	
R	BJ	6,144,961	11/7/00	de la Salle	707	10	
R	BK	6,161,109	12/12/00	Matamoros et al.	707	203	
R	BL	6,282,546	8/28/01	Gleichauf et al.	707	102	
R	BM	6,463,426	10/8/02	Lipson et al.	707	3	

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Peter A.J. vander Made et al.		2123

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	BN	6,647,400	11/11/03	Moran	707	205	
R	BO	4,223,380	9/16/80	Antonaccio et al.	709	225	
R	BP	5,309,562	5/3/94	Li	709	237	
R	BQ	5,347,450	9/13/94	Nugent	709	243	
R	BR	5,371,852	12/6/94	Attanasio et al.	709	245	
R	BS	5,515,508	5/7/96	Pettus et al.	709	203	
R	BT	5,539,659	7/23/96	McKee et al.	709	224	
R	BU	5,630,061	5/13/97	Richter et al.	709	227	
R	BV	5,706,210	1/6/98	Kumano et al.	709	224	
R	BW	5,745,692	4/28/98	Lohmann, II et al.	709	223	
R	BX	5,790,799	8/4/98	Mogul	709	224	
R	BY	5,835,726	11/10/98	Shwed et al.	709	229	
R	BZ	5,881,236	3/9/99	Dickey	709	221	
R	CA	5,884,033	3/16/99	Duvall et al.	709	206	
R	CB	5,922,051	7/13/99	Sidey	709	223	

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Peter A.J. vander Made et al.	2123

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	CC	5,964,839	10/12/99	Johnson et al.	709	224	
R	CD	5,974,237	10/26/99	Shurmer et al.	709	224	
R	CE	5,974,457	10/26/99	Waclawsky et al.	709	224	
R	CF	5,983,270	11/9/99	Abraham et al.	709	224	
R	CG	6,009,467	12/28/99	Ratcliff et al.	709	224	
R	CH	6,026,442	2/15/00	Lewis et al.	709	229	
R	CI	6,035,323	3/7/00	Narayen et al.	709	201	
R	CJ	6,041,347	3/21/00	Harsham et al.	709	220	
R	CK	6,052,709	4/18/00	Paul	709	202	
R	CL	6,070,190	5/30/00	Reps et al.	709	224	
R	CM	6,073,172	6/6/00	Frailong et al.	709	222	
R	CN	6,085,224	7/4/00	Wagner	709	203	
R	CO	6,119,165	9/12/00	Li et al.	709	229	
R	CP	6,195,687	2/27/01	Greaves et al.	709	228	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	CQ	6,377,994	4/23/02	Ault et al.	709	229	
R	CR	6,397,245	5/28/02	Johnson, II et al.	709	203	
R	CS	6,415,321	7/2/02	Gleichauf et al.	709	224	
R	CT	6,434,615	8/13/02	Dinh et al.	709	224	
R	CU	6,438,600	8/20/02	Greenfield et al.	709	229	
R	CV	6,453,345	9/17/02	Trcka et al.	709	224	
R	CW	6,453,346	9/17/02	Garg et al.	709	224	
R	CX	6,484,203	11/19/02	Porras et al.	709	224	
R	CY	6,496,858	12/17/02	Frailong et al.	709	221	
R	CZ	6,519,647	2/11/03	Howard et al.	709	229	
R	DA	6,708,212	3/16/04	Porras et al.	709	224	
R	DB	6,711,615	3/23/04	Porras et al.	709	224	
R	DC	6,718,383	4/6/04	Hebert	709	224	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	DD	2002/0032793	3/14/02	Malan et al.	709	232	
R	DE	2003/0037136	2/20/03	Labovitz et al.	709	224	
R	DF	4,400,769	8/23/83	Kaneda et al.	710	267	
R	DG	5,511,184	4/23/96	Lin	710	261	
R	DH	5,991,856	11/23/99	Spilo et al.	711	147	
R	DI	6,324,627	11/27/01	Kricheff et al.	711	163	
R	DJ	4,975,950	12/4/90	Lentz	726 ~~713~~	24	
R	DK	5,032,979	7/16/91	Hecht et al.	726 ~~713~~	25	
R	DL	5,121,345	6/9/92	Lentz	713	188	
R	DM	5,204,966	4/20/93	Wittenberg et al.	726 ~~713~~	6	
R	DN	5,274,824	12/28/93	Howarth	713	167	
R	DO	5,278,901	1/11/94	Shieh et al.	726 ~~713~~	22	
R	DP	5,311,593	5/10/94	Carmi	713	162	
R	DQ	5,359,659	10/25/94	Rosenthal	726 ~~713~~	24	
R	DR	5,414,833	5/9/95	Hershey et al.	726 ~~713~~	22	
R	DS	5,475,839	12/12/95	Watson et al.	713	2	

FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ℛ	DT	5,557,742	9/17/96	Smaha et al.	726 ~~713~~	22	
ℛ	DU	5,586,260	12/17/96	Hu	726 ~~713~~	12	
ℛ	DV	5,606,668	2/25/97	Shwed	726 ~~713~~	13	
ℛ	DW	5,623,600	4/22/97	Ji et al.	726 ~~713~~	24	
ℛ	DX	5,623,601	4/22/97	Vu	726 ~~713~~	12	
ℛ	DY	5,696,822	12/9/97	Nachenberg	726 ~~713~~	24	
ℛ	DZ	5,761,504	6/2/98	Corrigan et al.	713	2	
ℛ	EA	5,764,887	6/9/98	Kells et al.	726 ~~713~~	14	
ℛ	EB	5,764,890	6/9/98	Glasser et al.	726 ~~713~~	11	
ℛ	EC	5,787,177	7/28/98	Leppek	713	164	
ℛ	ED	5,796,942	8/18/98	Esbensen	726 ~~713~~	13	
ℛ	EE	5,798,706	8/25/98	Kraemer et al.	726 ~~713~~	3	
ℛ	EF	5,812,763	9/22/98	Teng	726 ~~713~~	25	
ℛ	EG	5,815,574	9/29/98	Fortinsky	713	153	
ℛ	EH	5,822,517	10/13/98	Dotan	726 ~~713~~	22	

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R	EI	5,826,013	10/20/98	Nachenberg	726 ~~713~~	22	
R	EJ	5,828,833	10/27/98	Belville et al.	726 ~~713~~	11	
R	EK	5,832,208	11/3/98	Chen et al.	726 ~~713~~	24	
R	EL	5,832,211	11/3/98	Blakley, III et al.	726 ~~713~~	6	
R	EM	5,838,903	11/17/98	Blakely, III et al.	726 ~~713~~	5	
R	EN	5,845,067	12/1/98	Porter et al.	726 ~~713~~	4	
R	EO	5,848,233	12/8/98	Radia et al.	726 ~~713~~	13	
R	EP	5,864,665	1/26/99	Tran	726 ~~713~~	10	
R	EQ	5,875,296	2/23/99	Shi et al.	726 ~~713~~	5	
R	ER	5,892,903	4/6/99	Klaus	726 ~~713~~	25	
R	ES	5,919,257	7/6/99	Trostle	726 713	22	
R	ET	5,919,258	7/6/99	Kayashima et al.	726 ~~713~~	23	
R	EU	5,925,126	7/20/99	Hsieh	726 713	19	
R	EV	5,931,946	8/3/99	Terada et al.	726 ~~713~~	25	
R	EW	5,940,591	8/17/99	Boyle et al.	726 ~~713~~	3	

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R	EX	5,961,644	10/5/99	Kurtzberg et al.	726 ~~713~~	23	
R	EY	5,978,917	11/2/99	Chi	726 ~~713~~	22	
R	EZ	5,983,348	11/9/99	Ji	726 ~~713~~	13	
R	FA	5,983,350	11/9/99	Minear et al.	726 ~~713~~	11	
R	FB	5,987,606	11/16/99	Cirasole et al.	726 ~~713~~	11	
R	FC	5,987,610	11/16/99	Franczek et al.	726 ~~713~~	24	
R	FD	5,987,611	11/16/99	Freund	726 ~~713~~	4	
R	FE	5,991,881	11/23/99	Conklin et al.	726 ~~713~~	22	
R	FF	5,999,711	12/7/99	Misra et al.	726 ~~713~~	4	
R	FG	6,003,132	12/14/99	Mann	726 ~~713~~	24	
R	FH	6,061,795	5/9/00	Dircks et al.	726 ~~713~~	4	
R	FI	6,070,244	5/30/00	Orchier et al.	726 ~~713~~	1	
R	FJ	6,081,894	6/27/00	Mann	713	188	

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R	FK	6,088,803	7/11/00	Tso et al.	726 713	22	
R	FL	6,088,804	7/11/00	Hill et al.	726 713	25	
R	FM	6,092,194	7/18/00	Touboul	726 713	24	
R	FN	6,098,173	8/1/00	Elgressy et al.	726 713	17	
R	FO	6,119,234	9/12/00	Aziz et al.	726 713	11	
R	FP	6,122,738	9/19/00	Millard	713	187	
R	FQ	6,154,844	11/28/00	Touboul et al.	726 713	24	
R	FR	6,167,520	12/26/00	Touboul	726 713	23	
R	FS	6,185,689	2/6/01	Todd, Sr. et al.	726 713	25	
R	FT	6,205,552	3/20/01	Fudge	726 713	25	
R	FU	6,266,773	7/24/01	Kisor et al.	726 713	17	
R	FV	6,266,774	7/24/01	Sampath et al.	726 713	24	
R	FW	6,272,641	8/7/01	Ji	726 713	24	
R	FX	6,275,938	8/14/01	Bond et al.	726 713	23	
R	FY	6,275,942	8/14/01	Bernhard et al.	726 713	22	
R	FZ	6,279,113	8/21/01	Vaidya	726 713	23	

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R	GA	6,298,445	10/2/01	Shostack et al.	726 ~~713~~	25	
R	GB	6,301,668	10/9/01	Gleichauf et al.	726 ~~713~~	25	
R	GC	6,314,520	11/6/01	Schell et al.	726 ~~713~~	13	
R	GD	6,321,338	11/20/01	Porras et al.	726 ~~713~~	25	
R	GE	6,324,647	11/27/01	Bowman-Amuah	726 ~~713~~	23	
R	GF	6,338,141	1/8/02	Wells	726 ~~713~~	24	
R	GG	6,347,374	2/12/02	Drake et al.	726 ~~713~~	1	
R	GH	6,357,008	3/12/02	Nachenberg	726 ~~713~~	24	
R	GI	6,405,318	6/11/02	Rowland	726 ~~713~~	22	
R	GJ	6,408,391	6/18/02	Huff et al.	726 ~~713~~	22	
R	GK	6,460,141	10/1/02	Olden	726 ~~713~~	4	
R	GL	6,470,449	10/22/02	Blandford	713	178	
R	GM	6,477,648	11/5/02	Schell et al.	726 ~~713~~	22	
R	GN	6,477,651	11/5/02	Teal	726 ~~713~~	23	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R	GO	6,487,666	11/26/02	Shanklin et al.	726 ~~713~~	23	
R	GP	6,499,107	12/24/02	Gleichauf et al.	726 ~~713~~	23	
R	GQ	6,510,523	1/21/03	Perlman et al.	726 ~~713~~	6	
R	GR	6,519,703	2/11/03	Joyce	726 ~~713~~	22	
R	GS	6,530,024	3/4/03	Proctor	726 ~~713~~	23	
R	GT	6,546,493	4/8/03	Magdych et al.	726 ~~713~~	25	
R	GU	6,574,737	6/3/03	Kingsford et al.	726 ~~713~~	25	
R	GV	6,578,147	6/10/03	Shanklin et al.	726 ~~713~~	22	
R	GW	6,681,331	1/20/04	Munson et al.	726 ~~713~~	23	
R	GX	6,691,232	2/10/04	Wood et al.	726 ~~713~~	6	
R	GY	6,704,874	3/9/04	Porras et al.	726 ~~713~~	22	
R	GZ	6,725,377	4/20/04	Kouznetsov	726 ~~713~~	23	
R	HA	6,725,378	4/20/04	Schuba et al.	726 ~~713~~	13	
R	HB	6,775,780	8/10/04	Muttik	726 ~~713~~	24	

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R	HC	6,792,546	9/14/04	Shanklin et al.	726 ~~713~~	23	
R	HD	6,816,973	11/9/04	Gleichauf et al.	726 ~~713~~	13	
R	HE	6,839,850	1/4/05	Campbell et al.	726 ~~713~~	23	
R	HF	2001/0034847	10/25/01	Gaul, Jr.	726 ~~713~~	25	
R	HG	2002/0035698	3/21/02	Malan et al.	726 ~~713~~	23	
R	HH	2002/0083331	6/27/02	Krumel	726 ~~713~~	26	
R	HI	2002/0083334	6/27/02	Rogers et al.	726 ~~713~~	23	
R	HJ	2002/0138753	9/26/02	Munson	726 ~~713~~	26	
R	HK	2002/0144156	10/3/02	Copeland, III	726 ~~713~~	22	
R	HL	2003/0088791	5/8/03	Porras et al.	726 ~~713~~	4	
R	HM	2003/0212903	11/13/03	Porras et al.	726 ~~713~~	13	
R	HN	2004/0010718	1/15/04	Porras et al.	726 ~~713~~	23	
R	HO	4,672,609	6/9/87	Humphrey et al.	714	719	
R	HP	4,773,028	9/20/88	Tallman	714	736	
R	HQ	5,398,196	3/14/95	Chambers	714	28	

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R	HR	5,440,723	8/8/95	Arnold et al.	714	2	
L	HS	5,452,442	9/19/95	Kephart	714	38	
L	HT	5,649,095	7/15/97	Cozza	714	39	
R	HU	5,765,030	6/9/98	Nachenberg et al.	714	33	
R	HV	5,964,889	10/12/99	Nachenberg	714	25	
R	HW	6,006,016	12/21/99	Faigon et al.	714	48	
R	HX	6,016,553	1/18/00	Schneider et al.	714	21	
L	HY	6,021,510	2/1/00	Nachenberg	714	38	
L	HZ	6,029,256	2/22/00	Kouznetsov	714	38	
L	IA	6,035,423	3/7/00	Hodges et al.	714	38	
L	IB	6,094,731	7/25/00	Waldin et al.	714	38	
L	IC	6,108,799	8/22/00	Boulay et al.	714	38	
L	ID	6,173,413	1/9/01	Slaughter et al.	714	6	

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R	IE	6,199,181	3/6/01	Rechef et al.	714	38	
R	IF	6,230,288	5/8/01	Kuo et al.	714	38	
R	IG	6,314,525	11/6/01	Mahalingham et al.	714	4	
R	IH	6,324,656	11/27/01	Gleichauf et al.	714	37	
R	II	6,601,190	7/29/03	Meyer et al.	714	37	
R	IJ	2002/0032880	3/14/02	Poletto et al.	714	4	
R	IK	5,454,074	9/26/95	Hartel et al.	715	710	
R	IL	5,522,026	5/28/96	Records et al.	715	710	
R	IM	6,271,840	8/7/01	Finseth et al.	715	513	
R	IN	6,517,587	2/11/03	Satyavolu et al.	715	501.1	
R	IO	6,535,227	3/18/03	Fox et al.	715	736	
R	IP	4,819,234	4/4/89	Huber	717	129	
R	IQ	5,590,331	12/31/96	Lewis et al.	717	144	
R	IR	5,774,727	6/30/98	Walsh et al.	717	150	
R	IS	5,872,978	2/16/99	Hoskins	717	158	

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R	IT	5,950,012	9/7/99	Shiell et al.	717	169	
R	IU	6,118,940	9/12/00	Alexander, III et al.	717	127	
R	IV	6,405,364	6/11/02	Bowman-Amuah	717	101	
R	IW	6,606,744	8/12/03	Mikurak	717	174	
R	IX	6,397,242	5/28/02	Devine et al.	718	1	
R	IY	2002/0032717	3/14/02	Malan et al.	718	105	
R	IZ	6,477,585	11/5/02	Cohen et al.	719	318	
R	JA	6,721,806	4/13/04	Boyd et al.	719	312	

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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES	NO.
	JB	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
	JC	WO 02/006928	01/24/02	PCT	vCIS, Inc.		
	JD	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
	JE	WO 02/056152	07/18/02	PCT	Psionic Software		
	JF	0 985 995	08/13/03	EP	International Business Machines Corp.		
	JG	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
	JH	WO 00/02115	01/13/00	PCT	PRC Inc.		
	JI	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
	JJ	WO 99/50734	10/07/99	PCT	AT&T Corporation		
	JK	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
	JL	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
	JM	0 636 977	05/23/01	EP	Chambers		
	JN	WO 99/15966	04/01/99	PCT	Symantec Corporation		

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	JO	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
	JP	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
	JQ	International Search Report for PCT/US02/17161 of December 31, 2002
	JR	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

BOX 1

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BOX 1

	JS	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
	JT	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	JU	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	JV	International Search Report for PCT/US01/13769 of March 8, 2002
	JW	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	JX	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	JY	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
	JZ	International Search Report for PCT/US02/04989 of September 19, 2002
	KA	International Search Report for PCT/US02/02917 of August 8, 2002
	~~KB~~	~~International Search Report for PCT/US03/00155 of May 15, 2003~~
	KC	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
	KD	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
	KE	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

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R	KF	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13th National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839
R	KG	Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172
R	KH	Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7
R	KI	Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html, printed off of the Process Software website on February 26, 2003, pp. 1-5
R	KJ	Ganesan, BAfirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108
R	KK	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362
R	KL	Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563
R	KM	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610
R	KN	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99
R	KO	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224
R	KP	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40
R	KQ	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48

EXAMINER R Guild	DATE CONSIDERED 3/20/2006

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R	KR		Djahandari et al., An MBone for an Application Gateway Firewall, IEEE, November 1997, pp. 72-81
R	KS		Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9
R	KT		Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280
R	KU		Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87
R	KV		Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.
R	KW		Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10th Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.
R	KX		Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.
R	KY		Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.
R	KZ		Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.
R	LA		Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.
R	LB		Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.

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~~LC~~		~~Epstein et al., "Component Architectures for Trusted Netware," 18th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.~~
LD		Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19th National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.
LE		Snapp et al., "DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and An Early Prototype," 14th National Computer Security Conference, October 1-4, 1991, Washington, DC, pp. 167-176.
LF		Broner et al., "IntelligentI/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.
LG		Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.
LH		Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.
LI		Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.
LJ		Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.
LK		Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.
LL		Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.

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✓	LM	Vangala et al., "Software Distribution and Management in a Networked Environment," Proceedings of the Sixth Systems Administration Conference, October 19-23, 1992, Long Beach, California, pp. 163-170.
✓	LN	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2nd ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.
✓	LO	Winn Schwartau, "e.Security™-Solving 'Dumb Days' With Security Visualization," e-Security, Inc., Naples, FL 34103, 2000.
✓	LP	Anita D'Amico, Ph.D., "Assessment of Open e-Security Platform™: Vendor-Independent Central Management of Computer Security Resources," Applied Visions, Inc., 1999.
✓	LQ	"e.Security™-Open Enterprise Security Management: Delivering an integrated, automated, centrally Managed Solution You Can Leverage Today and Tomorrow," e-Security, Inc., Naples, FL 34102, 1999.
✓	LR	"e.Security ™-Vision," e-Security, Inc., Naples, FL, 1999.
✓	LS	"e.Security™ -Administrator Workbench™," e-Security, Inc. Naples, FL, 1999.
✓	LT	"e.Security™-Fact Sheet," e-Security, Inc., Naples, FL, 1999.
✓	LU	"e.Security™-Open e-Security Platform™," e-Security, Inc. Naples, FL, 1999.
✓	LV	Babcock, "E-Security Tackles The Enterprise," July 28, 1999; Inter@ctive Week, www.Zdnet.com.
✓	LW	Kay Blough, "In Search of More-Secure Extranets," November 1, 1999, www.InformationWeek.com
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R	OC	WO 00/34867	6/15/00	PCT	Network Ice Corporation		
R	OD	WO 02/101516	12/19/02	PCT	Intruvert Networks, Inc.		

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R	VA	Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34
R	VB	20th National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44
R	VC	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11
R	VD	Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89
R	VE	Lunt et al., Detecting Intruders in Computer Systems, 1993 Conference on Auditing and Computer Technology, SRI International, pp. 1-17
	VF	~~Network ICE Products - ICEcap, Date Unknown, pp. 1-2~~
R	VG	Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35
R	VH	BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59
R	VI	ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25
R	VJ	Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51

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Peter A.J. vander Made et al.		2123	*(Box 3/4)*

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

BOX 3

ℛ	VK	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 199?-2000; September 2000, pgs. 1-64.
ℛ	VL	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
ℛ	VM	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
ℛ	VN	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
ℛ	VO	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
ℛ	VP	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
ℛ	VQ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
ℛ	VR	"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.
ℛ	VS	"Database Scanner™, User Guide," Version 3.0.1, , © 1999 by Internet Security Systems, Inc., pgs. 1-164.
ℛ	VT	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
ℛ	VU	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
ℛ	VV	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
ℛ	VW	"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.
ℛ	VX	"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.

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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

BOX4

R	VY		"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
R	VZ		"Real Secure, Console User Guide," Version 5.5, © 199?-2000, Internet Security Systems, Inc., pgs. 1-162.
R	WA		"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
R	WB		"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.
R	WC		"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.
R	WD		"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
R	WE		"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.
R	WF		"Sytem Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140. 316
R	WG		"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.
R	WH		Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47
R	WI		Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86
R	WJ		Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
R	WK		Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1- 154
R	WL		Internet Security Systems, Inc., "Enhanced Dynamic Threat Protection via Automated Correlation and Analysis", an ISS White Paper, 2002, pp. 1-14

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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

BOX4

EXAMINER		REF	REFERENCE
R		WM	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
R		WN	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
R		WO	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
R		WP	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
R		WQ	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
R		WR	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
R		WS	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23

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